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BELFAST, MAINE

LITTLE RIVER UPPER DAM ME 00289

STATE NO. 5091

PHASE I INSPECTION REPORT NATIONAL DAM INSPECTION PROGRAM

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DEPARTMENT OF THE ARMY
NEW ENGLAND DIVISION, CORPS OF ENGINEERS
WALTHAM, MASS. 02154

NOVEMBER 1979

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19. KEY WORDS (Continue on reverse side if necessary and identify by block number)

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Atlantic Ocean Belfast Maine Little River

20. ABSTRACT (Continue on reverse side if necessary and identify by block number)

The dam is a concrete gravity dam with a hydraulic height of 30 ft., and is 216 ft. long. The dam is in fair condition. It is small in size with a hazard potential of significant. A major breach at top of dam could possibly result in the loss of one life and could cause appreciable property damage.

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DEPARTMENT OF THE ARMY

NEW ENGLAND DIVISION. CORPS OF ENGINEERS
424 TRAPELO ROAD
WALTHAM. MASSACHUSETTS 02154

JUL 0 7 1980

Honorable Joseph E. Brennan Governor of the State of Maine State Capitol Augusta, Maine 04330

Dear Governor Brennan.

Inclosed is a copy of the Little River Upper Dam Phase I Inspection Report, which was prepared under the National Program for Inspection of Non-Federal Dams. This report is presented for your use and is based upon a visual inspection, a review of the past performance and a brief hydrological study of the dam. A brief assessment is included at the beginning of the report. I have approved the report and support the findings and recommendations described in Section 7 and ask that you keep me informed of the actions taken to implement them. This follow-up action is a vitally important part of this program.

A copy of this report has been forwarded to the Department of Agriculture cooperating agency for the State of Maine. In addition, a copy of the report has also been furnished the owner, Belfast Water District, 71 Church Street, Belfast, Maine 04915.

Copies of this report will be made available to the public, upon request, by this office under the Freedom of Information Act. In the case of this report the release date will be thirty days from the date of this letter.

I wish to take this opportunity to thank you and the Department of Agriculture for your cooperation in carrying out this program.

Sincerely,

Incl As stated MAX B. SCHEIDER

Colonel, Corps of Engineers

Division Engineer

NATIONAL DAM INSPECTION PROGRAM PHASE I INSPECTION REPORT

Identification No.: ME00289

Name of Dam: Little River Upper Dam

Town: Belfast

County and State: Waldo, Maine Stream: Little River

Date of Inspection: September 17, 1979

BRIEF ASSESSMENT

Little River Upper Dam is a concrete gravity dam with a hydraulic height of 30 feet, 216 feet long, 3.0 feet wide at the crest, with a vertical upstream face and a downstream face battered at approximately lH:12V. The central overflow spillway section of the dam is 114 feet long with a slight curvature. At the south abutment there is a concrete training wall. At the north end of the spillway is a concrete intake structure; beyond this, the dam extends to the north abutment. The dam impounds a reservoir with a maximum storage capacity of about 850 acre-feet. The reservoir is .83 mile long with a surface area of about 48 acres and is used as a regulating reservoir for use in water supply for the Town of Belfast.

The dam is in fair condition. Major concerns are: The large ratio of height to average width of the gravity section of the dam, trespassing and erosion on the embankment sections of the dam, trees and brush growing on the embankment sections at the ends of the dam, cracking and spalling of the exposed concrete surfaces, and flexibility and weathering of the plywood cover over the control tower.

Based on small size and significant hazard classification in accordance with Corps guidelines, the test flood ranges from ¼ to ½ Probable Maximum Flood (PMF). Because the dam's storage capacity is in the upper range of size classification, ½ PMF will be used as the test flood. The test flood inflow was determined to be 12,800 cfs. The routed test flood outflow for Little River Upper Dam, having a drainage area of 13.7 square miles was determined to be 12,200 cfs at elevation 68.2' MSL, which would overtop the dam by about 3.3 feet. Spillway capacity at top of dam is 5,390 cfs, which is 44 percent of the test flood discharge. A major breach at top of dam could possibly result in the loss of one life and could cause appreciable property damage. (See Section 5.1 f.)

The owner, Belfast Water District, should implement the results of the recommendations and remedial measures given in Sections 7.2 and 7.3 within one year after receipt of this Phase I Inspection Report.

Warren A. Guinan
Project Manager
N.H. P.E. 2339

This Phase I Inspection Report on Little River Upper Dam has been reviewed by the undersigned Review Board members. In our opinion, the reported findings, conclusions, and recommendations are consistent with the Recommended Guidelines for Safety Inspection of Dams, and with good engineering judgment and practice, and is hereby submitted for approval.

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APPROVAL RECOMMENDED:

OE B. FRYAR
Chief, Engineering Division

PREFACE

This report is prepared under guidance contained in the Recommended Guidelines for Safety Inspection of Dams, for Phase I Investigations. Copies of these guidelines may be obtained from the Office of Chief of Engineers, Washington, D.C. 20314. The purpose of a Phase I Investigation is to identify expeditiously those dams which may pose hazards to human life or property. The assessment of the general condition of the dam is based upon available data and visual inspections. Detailed investigation and analyses involving topographic mapping, subsurface investigations, testing, and detailed computational evaluations are beyond the scope of a Phase I investigation; however, the investigation is intended to identify any need for such studies.

In reviewing this report, it should be realized that the reported condition of the dam is based on observations of field conditions at the time of inspection along with data available to the inspection team. In cases where the reservoir was lowered or drained prior to inspection, such action, while improving the stability and safety of the dam, removes the normal load on the structure and may obscure certain conditions which might otherwise be detectable if inspected under the normal operating environment of the structure.

It is important to note that the condition of a dam depends on numerous and constantly changing internal and external conditions, and is evolutionary in nature. It would be incorrect to assume that the present condition of the dam will continue to represent the condition of the dam at some point in the future. Only through continued care and inspection can there be any chance that unsafe conditions be detected.

Phase I inspections are not intended to provide detailed hydrologic and hydraulic analyses. In accordance with the established Guidelines, the Spillway Test flood is based on the estimated "Probable Maximum Flood" for the region (greatest reasonably possible storm runoff), or fractions thereof. Because of the magnitude and rarity of such a storm event, a finding that a spillway will not pass the test flood should not be interpreted as necessarily posing a highly inadequate condition. The test flood provides a measure of relative spillway capacity and serves as an aid in determining the need for more detailed hydrologic and hydraulic studies, considering the size of the dam, its general condition and the downstream damage potential.

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October, 1979
Figure 1 - Overview of Little River Upper Dam.

NATIONAL DAM INSPECTION PROGRAM PHASE I INSPECTION REPORT LITTLE RIVER UPPER DAM

SECTION 1 PROJECT INFORMATION

1.1 General

a. Authority. Public Law 92-367, August 8, 1972 authorized the Secretary of the Army, through the Corps of Engineers, to initiate a National Program of Dam Inspection throughout the United States. The New England Division of the Corps of Engineers has been assigned the responsibility of supervising the inspection of dams within the New England Region. Anderson-Nichols & Company, Inc. has been retained by the New England Division to inspect and report on selected dams in the State of New Hampshire. Authorization and notice to proceed were issued to Anderson-Nichols under a letter of August 28, 1979 from William E. Hodgson, Colonel, Corps of Engineers. Contract No. DACW33-79-C-0050, as changed, has been assigned by the Corps of Engineers for this work.

b. Purpose.

- (1) To perform technical inspection and evaluation of non-Federal dams to identify conditions which threaten the public safety and thus permit correction in a timely manner by non-Federal interests.
- (2) To encourage and prepare the States to initiate quickly effective dam safety programs for non-Federal dams.
- (3) To update, verify and complete the National Inventory of Dams.

1.2 Description of Project

a. Location. Little River Upper Dam, commonly called Upper Dam, is located in the Town of Belfast, Maine; the dam spans Little River approximately 5,600 feet upstream from the river's confluence with the Atlantic Ocean. The dam impounds a pond called Belfast Reservoir Number 2. After discharging at the damsite, Little River flows easterly for a distance of 2,200 feet before it enters Belfast Reservoir Number 1. Little River Upper Dam is shown on the U.S.G.S. Quadrangle Belfast, Maine with coordinates approximately at N 44° 24' 00", W 69° 00' 20", Waldo County, Maine. (See Location Map page vii.)

b. Description of Dam and Appurtenances. Little River Upper Dam is a concrete gravity dam with a hydraulic height of 30 feet, 216 feet long, 3.0 feet wide at the crest, with a vertical upstream face and a downstream face battered at approximately lH:12V. The central overflow spillway section of the dam is 114 feet long with a slight curvature. At the south end of the overflow spillway section, there is a concrete training wall extending 22.8 feet downstream from the dam. Between this wall and the south abutment earth has been placed. At the south abutment between the training wall and downstream face of the spillway are three concrete steps. Their function is probably to protect the rocky abutment from undermining and also to act as energy dissipators.

Bedrock exposure in the valley downstream of the dam shows that the dam is at least partially founded on bedrock. At the north end of the spillway is a concrete intake structure; beyond this, the dam extends to the north abutment. Earth has been placed against the upstream and downstream faces of the concrete dam near the abutments. A gate, which is not operable and is of unknown size, exists at the north abutment. There are 3 inlet valve operators (unknown type and size) and 2 (6" & 8") outlet pipes from the intake chamber to the downstream channel. There is some evidence of another low-level outlet of an undetermined size and condition approximately 5 feet south of the intake structure under the spillway.

- c. Size Classification. Small (hydraulic height 30 feet; storage 850 acre-feet) based on height and storage (\geq 25 to \leq 40 feet; \geq 50 to \leq 1000 acre-feet) as given in the Recommended Guidelines for Safety Inspection of Dams.
- d. Hazard Classification. Significant hazard. A major break would probably result in the loss of one life and could cause appreciable property damage and loss as a regulating reservoir for use in water supply. (See Section 5.1 f.)
- e. Ownership. Presently Little River Upper Dam is owned by Belfast Water District. Information about past ownership was not available.
- f. Operator. The current owner and operator of the dam is Belfast Water District, 71 Church Street, Belfast, Maine 04915. Telephone: (207) 338-1200.
- g. Purpose of Dam. Reservoir Number 2 is used as a regulating reservoir for use in water supply. Water impounded at Little River Upper Dam can be released through valve chambers into the downstream channel to provide sufficient inflow into Reservoir Number 1 during periods of low water.
- h. Design and Construction History. No information regarding the original design or construction of the dam was disclosed.

i. Normal Operating Procedures. No written operational procedures exist for Little River Upper Dam. The gate operating mechanism with 18-inch vcp outlet is rusted and is not in operable condition. Three inlet valve operators (that are reported to be operable), a valve chamber, and two outlet pipes are utilized to put discharge into the downstream channel to provide additional inflow into Reservoir Number 1 as required to meet demands.

1.3 Pertinent Data

- a. <u>Drainage Area</u>. The drainage area consists of 13.7 square miles (8,768 acres) of mountainous and partially wooded terrain. The normal pool has a surface area of 48 acres, which constitutes less than 1 percent of the watershed.
 - b. Discharge at Damsite.
 - (1) Outlet works
 (a) unknown size gate not operable
 (b) 3 inlet valve operators discharge flow into valve chamber
 with two outlet pipes:
 6-inch diameter at outlet
 elevation 38.7' MSL
 8-inch diameter at outlet
 elevation 35.5' MSL
 - (c) Low-level outlet of an unknown size
 - (2) The maximum known discharge at damsite is unknown
 - (3) Ungated spillway (principal) capacity @ top of dam elevation 5,390 cfs @ 64.9' MSL
 - (4) Ungated spillway capacity @ test flood elevation 10,500 cfs @ 68.2' MSL
 - (5) Gated spillway capacity @ top of dam elevation not applicable
 - (6) Gated spillway capacity @ test flood elevation not applicable
 - (7) Total spillway capacity @ test flood elevation 10,500 cfs @ 68.2' MSL
 - (8) Total project discharge @ test flood elevation -12,200 cfs @ 68.2' MSL
 - c. Elevation. (feet above NGVD of 1929; formerly known as Mean Sea Level (MSL); see (6) below)
 - (1) Streambed at centerline of dam 34.5 (at downstream toe)
 - (2) Maximum tailwater unknown
 - (3) Upstream valve chamber invert unknown

- (4) Recreation Pool not applicable
- (5) Full flood control pool not applicable
- (6) Spillway crest 59 (as shown on U.S.G.S.
 Quadrangle sheet)
- (7) Design surcharge (original design) unknown
- (8) Top of dam 64.9
- (9) Test flood pool 68.2
- d. Reservoir (miles)
 - (1) Length of maximum pool .95
 - (2) Length of spillway crest pool .83
 - (3) Length of flood control pool not applicable
- e. Storage. (acre-feet)
 - (1) Recreation pool not applicable
 - (2) Flood control pool not applicable
 - (3) Spillway crest pool 480
 - (4) Top of dam 850
 - (5) Test flood pool 1045
- f. Reservoir Surface (acres)
 - (1) Recreation pool not applicable
 - (2) Flood control pool not applicable
 - (3) Spillway crest 48
 - (4) Test flood pool 75
 - (5) Top of dam 70
- g. Dam
 - (1) Type concrete gravity
 - (2) Length 216'
 - (3) Height 31.5' structural height
 - (4) Top width 3'

- (5) Side slopes upstream vertical downstream 1H:12V
- (6) Zoning not applicable
- (7) Impervious core not applicable
- (8) Cutoff unknown
- (9) Grout curtain unknown
- h. <u>Diversion and Regulating Tunnel</u>. not applicable. (See j. below.)

i. Spillway

- (1) Type concrete ogee overflow
- (2) Length of weir 114'
- (3) Crest elevation 59' MSL
- (4) Gates none
- (5) U/S Channel Reservoir Number 2 completely open
- (6) D/S Channel Little River for about 2,200 feet before it enters Reservoir Number 1, rocky channel, very well defined. Herrick Road bridge spans over the river 200' below the Dam.
- j. Regulating Outlets. Three inlet valve operators discharge flow into valve chamber with two outlet pipes:
 - 6-inch diameter @ outlet elevation 38.7' MSL
 - 8-inch diameter @ outlet elevation 35.5' MSL

SECTION 2 ENGINEERING DATA

2.1 Design

No design data were disclosed for Little River Upper Dam.

2.2 Construction

No construction records were disclosed.

2.3 Operation

No engineering operational data were obtained.

2.4 Evaluation

- a. Availability. No engineering data were available for Little River Upper Dam. Direct contact with the Belfast Water District and a search of the files at the Maine Soil and Water Conservation Commission revealed only a limited amount of data.
- b. Adequacy. The final assessments and recommendations of this investigation are based on the visual inspection and the hydrologic and hydraulic calculations.
- c. <u>Validity</u>. No engineering data were disclosed to validate.

SECTION 3 VISUAL INSPECTION

3.1 Findings

- a. General. Little River Upper Dam is a low run-of-river dam which impounds a reservoir of small size. The water-shed above the reservoir is rolling and partially wooded. The downstream area is rolling and partially wooded.
- Little River Upper Dam is a concrete ogee shaped gravity dam 30 feet high (hydraulic), 216 feet long, and 3.0 feet wide at the crest, with a vertical upstream face and a downstream face battered at 1H:12V. (See Appendix C - Figures 2 and 3.) The central overflow spillway section of the dam is 114 feet long with a slight curved alignment. At the south end of the overflow spillway section there is a concrete training wall extending 22.8 feet downstream from the dam. Between this wall and the south abutment earth has been placed against the upstream and downstream faces of the concrete dam. At the north end of the spillway, there is a concrete intake structure, beyond which the dam extends to the north abutment. (See Appendix C -Figure 4.) Earth has been placed against the upstream and downstream faces of the concrete dam near the abutment. The ends of the dam where the concrete wall is flanked by earthfill on both the upstream and downstream sides are referred to as embankment sections in subsequent sections of this report and in the checklist. Bedrock exposures on the south side of the valley downstream of the dam show that that end of the dam is founded (See Appendix C - Figure 5.) Soil cover and brush on bedrock. growing on the north side of the valley make it impossible to determine visually whether that end of the dam is founded on bedrock.

The visible portion of the concrete spillway and training walls show some evidence of surface deterioration and cracking. A substantial portion of the spillway and training walls have been repaired with gunite in the past. Several areas of the gunite patching are cracked and spalled from the original concrete surface. (See Appendix C - Figure 6.) Numerous hairline cracks in the spillway face and training walls exhibit efflorescence. The crest and downstream face of the concrete spillway are water stained. The downstream toe of the concrete spillway has eroded exposing the coarse aggregate.

Trespassing has been considerable on the crest and downstream and upstream slopes of the embankment section at the south end of the dam, to the extent that many patches are bare of vegetation. Major erosion has occurred on the abutment side of the training wall that extends downstream from the south end of the

overflow section of the dam. Brush and small trees are growing on the upstream slope. (See Appendix C - Figures 7 & 8.)

Minor trespassing has occurred on the crest and upstream and downstream slopes of the embankment section at the north end of the dam. Brush and small trees are growing on the crest and upstream and downstream slopes.

Appurtenant Structures. At the north end of the overflow spillway there is a 9.7-foot by 8.3-foot concrete control tower (intake structure) constructed integrally with the spillway and north end of the concrete non-overflow section of the dam. Appendix C - Figure 9.) The control tower contains 3 inlet valves (unknown size and type) for varied elevations. There are two discharge pipes approximately 30 feet down from the top of the tower to discharge water from the intake chamber to the downstream (See Appendix C - Figure 10.) The Belfast Water Department Assistant Superintendent reported that the 3 inlet valves are in operable condition. Visual inspection revealed that there is only minor seepage into the chamber from the upstream side. are numerous hairline cracks on the downstream face of the control tower exhibiting efflorescence. (See Appendix C - Figure 6.) Access to the interior of the chamber is through two trap doors on the top of the chamber, one steel and one plywood. Appendix C - Figure 4.) The steel door is surface rusted and the plywood door is weathered. The plywood door is unreinforced and is quite flexible. Continued weathering of the plywood will lead to a condition that will no longer support the weight of the operator or other persons and may fail.

Approximately 2 feet to the north of the control tower (intake structure) there is an intermediate level outlet gate operating mechanism. (See Appendix C - Figure 11.) The shaft and steel bearing attached to the upstream face of the dam are coated with gunite. The gate operating mechanism has not been maintained and does not appear operable. The Belfast Water Department Assistant Superintendent reports that the gate has not been operated in many years. An 18-inch clay tile pipe discharges from the downstream face of the dam in line with the gate operating mechanism. (See Appendix C - Figure 6.) Water is discharging from the 18-inch clay tile line at an estimated rate of 15 to 30 gpm.

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- d. Reservoir Area. The watershed above the reservoir is rolling and partially wooded. (See Appendix C Figure 12.) No structures were observed on the shore of the reservoir. No evidence of significant sedimentation in the reservoir was observed.
- e. <u>Downstream Channel</u>. The channel downstream of the dam appears to be on bedrock. The south bank of the channel is bedrock, but the left bank is soil. Trees and brush overhang the left side of the channel. Herrick Road bridge crosses the channel 200 feet downstream from the dam. (See Appendix C Figures 13 & 14.)

3.2 Evaluation

Based on the visual inspection, Little River Upper Dam is in fair condition.

Trespassing on the embankment sections at the south and north abutments has caused major erosion on the abutment side of the downstream training wall at the south end of the overflow section of the dam and loss of vegetation elsewhere. Continued trespassing and erosion may endanger the embankment sections and the training wall. Trees and brush are growing on the embankment sections at the ends of the dam. If a tree blows over and pulls out its roots, or if a tree dies and its roots rot, seepage and erosion problems may result.

Trees and brush overhanging the downstream channel between the dam and the highway bridge could contribute to blockage of the channel and the opening under the highway bridge during floodflow.

Hairline cracks and spalled areas of the exposed concrete face could continue to deteriorate and lead to instability of the dam. Frost action in the cracks and rough areas of concrete will speed up at the deterioration process.

The plywood cover over the control tower will pose a dangerous condition to people walking on the cover if left uncorrected.

SECTION 4 OPERATIONAL PROCEDURES

4.1 Procedures

No written operational procedures exist for Little River Upper Dam. Three intake valve operators are kept operable to provide sufficient inflow into Reservoir Number 1 during periods of low water.

4.2 Maintenance of Dam

The owner, Belfast Water District, is responsible for the maintenance of dam.

4.3 Maintenance and Operating Facilities

No formal maintenance was disclosed. The intermediate level gate mechanism is inoperable. The three intake valve operating mechanisms are kept in operating condition.

4.4 Description of Any Warning System in Effect

No written warning system exists for the dam.

4.5 Evaluation

Formal operational and maintenance procedures should be developed to ensure that problems that are encountered can be remedied within a reasonable period of time.

SECTION 5 HYDROLOGIC/HYDRAULIC

5.1 Evaluation of Features

- General. Little River Upper Dam is a concrete, ogee shaped gravity dam which impounds a reservoir with a maximum storage capacity of 850 acre-feet. The dam contains runoff from a 13.7-square mile drainage area consisting of mountainous predominately wooded terrain. A gate of unknown size is located at the north abutment. The gate mechanism is rusted and not operable. The gate was designed to control discharge through an 18-inch diameter outlet pipe. There is also a valve chamber control tower at the north abutment. It has three inlet valve operators (size and type unknown) and two outlet pipes (6-inch and 8-inch respectively). The valves are in operating condition. There is evidence of another low-level outlet of an undetermined size and condition approximately 5 feet south of the intake structure, under the spillway. The reservoir level is primarily controlled by the spillway which is located at the center of the dam.
- b. Design Data. No hydrologic or hydraulic design data were found.
- c. Experience Data. No hydrologic or hydraulic experience data were disclosed.
- d. <u>Visual Observations</u>. At the time of the inspection, no visual evidence was noted of damage to the structure caused by overtopping.
- e. Test Flood Analysis. Little River Upper Dam is classified as being small in size having a hydraulic height of 30 feet and a maximum storage capacity of 850 acre-feet. The dam was determined to have a significant hazard classification. Using the Recommended Guidelines for Safety Inspection of Dams, test flood range is 1/4 to 1/4 the Probable Maximum Flood (PMF).

Because the dam maximum storage capacity is in the upper range of small size classification, the test flood was determined to be the Probable Maximum Flood (PMF).

Using the \$ PMF, the test flood inflow for Little River Upper Dam, having a drainage area of 13.7 square miles, was determined to be 12,800 cfs. After reservoir routing, the test flood discharge was determined to be 12,200 cfs. This value was obtained using the COE guide curves with the 'mountainous' characteristics. The test flood analysis indicates that the dam embankment would be overtopped by approximately 3.3 feet during the test flood conditions. The water depth discharging through the principal spillway would be 9.2 feet and would amount to 10,500 cfs. Spillway capacity at top of dam (64.9' MSL) is 5,390 cfs, which is 44 percent of test flood discharge. Flow through two outlet pipes (6" and 8" in diameter) from the valve chamber is insignificant. Because the gate is

inoperable, the overtopping analysis was calculated assuming no discharge through the 18" outlet pipe or through the larger low-level outlet under the spillway.

Dam Failure Analysis. The impact of failure of the dam at the top of dam was assessed using the Guidance for Estimating Downstream Dam Failure hydrographs issued by the Corps of Engineers. The analysis covered the reach extending from the dam to Reservoir Number 1, a distance of 2,200 feet along Little River. A major breach of Little River Upper Dam would result in a breach discharge of about 20,160 cfs. The discharge immediately prior to a breach would be 5,330 cfs or maximum spillway capacity. This antecedent discharge vould pass low flow through the Herrick Road bridge with a depth of about 12 feet. A breach would raise the water surface about 16.6 feet causing overtopping of the road and possible structural damage. The antecedent discharge from the Upper Dam, would cause the Lower Dam to have a depth of about 7 feet over the spillway, without considering any storage effects of the reservoir. A breach wave would cause an increase of almost 7 feet which could cause damage to the dam and the water facilities for the Town of Belfast. There could possibly be a loss of life to the dam tender at the The breach could also cause loss of a regulating Lower Dam. reservoir for use in water supply and could cause appreciable property damage. Therefore, Little River Upper Dam was classified Significant Hazard.

SECTION 6 STRUCTURAL STABILITY

6.1 Evaluation of Structural Stability

a. Visual Observations. The most significant visual observation about the concrete section of this dam is that the ratio of its height to average width appears to be larger than the values commonly associated with gravity dams having conventional factors of safety. (Because the reservoir was filled with water, it was not practical to measure the width at various elevations during the inspection.)

Trespassing on the embankment sections at the south and north abutments has caused major erosion on the abutment side of the downstream training wall at the south end of the overflow section of the dan and loss of vegetation elsewhere. Continued trespassing and erosion may endanger the embankment sections and the training walls.

Hairline cracks and spalled areas of the exposed concrete surface could continue to deteriorate and lead to instability of the dam. Frost action in the cracks and rough areas of the concrete will speed up the process.

The plywood cover over the control tower will pose a dangerous condition to people walking on the cover if left uncorrected.

Trees and brush are growing on the embankment sections at the ends of the dam. If a tree blows over and pulls out its roots, or if a tree dies and its roots rot, seepage and erosion problems may result.

- b. Design and Construction Data. No design and construction data are available for this dam.
- c. Operating Records. No engineering operational records were obtained.
- d. Post-Construction Changes. No information regarding post-construction changes were disclosed.
- e. <u>Seismic Stability</u>. This dam is located in <u>Seismic</u> Zone 2 and, in accordance with the Phase I guidelines, does not warrant seismic analysis.

SECTION 7 ASSESSMENT, RECOMMENDATIONS, AND REMEDIAL MEASURES

7.1 Dam Assessment

- a. Condition. The visual inspection indicates that Little River Upper Dam is in fair condition. The major concerns with respect to the integrity of the dam, if left uncorrected, are:
 - (1) Large ratio of height to average width of the gravity section of the dam.
 - (2) Trespassing and erosion on the embankment sections of the dam.
 - (3) Trees and brush growing on the embankment sections at the ends of the dam.
 - (4) Cracking and spalling of the exposed concrete surfaces.
 - (5) Flexibility and weathering of the plywood cover over the control tower.
- b. Adequacy of Information. The information available is such that the assessment of this dam must be based primarily on the results of the visual inspection. There is not enough information about the geometry of the cross section and the foundation conditions to assess the stability of the gravity section of the dam against overturning or sliding.
- c. <u>Urgency</u>. The recommendations made in 7.2 and 7.3 should be implemented by the owner within one year after receipt of this Phase I inspection report.
- d. Need for Additional Investigation. Additional investigation is needed to assess the stability of the gravity section of the dam against sliding or overturning.

7.2 Recommendations

The owner should engage a Registered Professional Engineer to:

- (1) Evaluate the stability of the dam against sliding and overturning and to design remedial measures, if needed.
- (2) Design procedures for and inspect the clearing of trees and brush from the embankment sections of the dam.

- (3) Design repairs for the erosion that has occurred on the embankment sections of the dam.
- (4) Design repairs to the cracked and spalled areas of the concrete surfaces.
- (5) Repair or replace plywood cover to the control tower.
- (6) Repair or replace 18" clay tile pipe.

The owner should carry out the recommendations made by the Engineer.

7.3 Remedial Measures

- a. Operating and Maintenance Procedures. The owner should:
 - (1) Prevent trespassing on the embankment section of the dam.
 - (2) Repair or replace plywood cover.
 - (3) Clear trees and brush for a distance of 25 feet on either side of the downstream channel between the dam and the highway bridge.
 - (4) Visually inspect the dam and appurtenant structures once a month.
 - (5) Engage a Registered Professional Engineer to make a comprehensive technical inspection of the dam once every year.
 - (6) Establish a surveillance program for use during and immediately after heavy rainfall, and also a downstream warning program to follow in case of emergency conditions.

7.4 Alternatives

None.

APPENDIX A

VISUAL INSPECTION CHECKLIST

VISUAL INSPECTION CHECKLIST PARTY ORGANIZATION

PROJECT Little River Upper Dam,	ME DATE Sept. 17, 1979
	TIME 1500
	WEATHER Sunny, cool
	W.S. ELEV U.S. DN.S. 59'msl 36.5'msl
PARTY:	
l. Warren Guinan (ANCo)	
2. Stephen Gilman (ANCo)	7. Ronald Hirschfeld (GEI)
3. Leslie Williams (ANCo)	8
John Regan (ANCo)	9
	- 10
PROJECT FEATURE	INSPECTED BY REMARKS
1. Hydrology/Hydraulics	L. Williams/J. Czyzowski
	S. Gilman
3. Soils and Geology	R. Hirschfeld
4	
5	
6	
7.	
8	
9	
10	

PERIODIC INSPECTION CHECKLIST Little River Upper Dam, ME DATE Sept. 17, 1979 PROJECT FEATURE Dam Embankment NAME ____ DISCIPLINE ____ NAME _____ AREA EVALUATED CONDITION DAM EMBANKMENT EMBANKMENT FROM END OF CONCRETE SECTION TO SOUTH ABUTMENT Crest Elevation Current Pool Elevation Maximum Impoundment to Date Surface Cracks None observed Pavement Condition No pavement Movement or Settlement of None observed Crest Lateral Movement None observed Vertical Alignment Good Horizontal Alignment Good Major erosion next to downstream training wall at south end of concrete section. Condition at Abutment and at Concrete Structures Indications of Movement of None observed Structural Items on Slopes Trespassing on embankment along upstream and downstream sides of corewall. Trespassing on Slopes Sloughing or Erosion of See "Condition at Abutment..." above. Slopes or Abutments No riprap Rock Slope Protection -Riprap Failures None observed Unusual Movement or Cracking at or Near Toe Unusual Embankment or Down-None observed stream Seepage Piping or Boils None observed Foundation Drainage Features None observed Toe Drains None observed Instrumentation System None observed Vegetation Some trees and brush on embankment, some areas bare of vegetation.

PERIODIC INSPECT	'ION CHECKLIST
PROJECT Little River Upper Dam, ME	DATE September 17, 1979
PROJECT FEATURE Control Tower	NAME
DISCIPLINE	NAME
AREA EVALUATED	CONDITION
OUTLET WORKS - CONTROL TOWER	
a. Concrete and Structural	
General Condition	Fair, numerous hairline cracks in out-
Condition of Joints	side and inside surface. Surface of gate chamber has been faced with gunite
Spalling-	Not visible. Numerous areas of spalling of qunite
Visible Reinforcing	surfaces. None.
Rusting or Staining of Concrete	Yes, at embedded items. Substantial staining at 8"&6" gate chamber outlets.
Any Seepage or Efflorescence	Yes, considerable efflorescence at
Joint Alignment	hairline cracks. Good. No indication of movement.
Unusual Seepage or Leaks in Gate Chamber	Minor leakage into chamber.
Cracks	Numerous hairline cracks.
Rusting or Corrosion of Steel	·
b. Mechanical and Electrical	-
Gate Chamber	3 inlet valve operators-reported
Float Wells	operable. 2 outlet pipes.
Crane Hoist	
Elevator	Į
Hydraulic System	
Service Gates	
Emergency Gates	Lower level 18" clay tile pipe (VCP) -
Lightning Protection System	gate operating mechanism poor condition seeping ± GPD. No lubrication, rusted,
Emergency Power System	no indication of recent operation.
Wiring and Lighting System	Ass't Supt. indicated no operation that he could remember.

PERIODIC INSPECTION CHECKLIST

PROJECT Little River Upper Dam, ME	DATE Sept. 17, 1979
PROJECT FEATURE Outlet Structure	Channel NAME
DISCIPLINE	NAME
AREA EVALUATED	CONDITION

OUTLET WORKS - OUTLET STRUCTURE AND OUTLET CHANNEL

General Condition of Concrete

Rust or Staining

Spalling

Erosion or Cavitation

Visible Reinforcing

Any Seepage or Efflorescence

Condition at Joints

Drain holes

Channel

Loose Rock or Trees Overhanging Channel

Condition of Discharge Channel

One drain hole (?) discharging water in concrete abutment (outlet works) section at north end of overflow spillway.

Some trees overhanging channel.

Good.

PERIODIC INSPE	CTION CHECKLIST
PROJECT Little River Upper Dam, ME	DATE Sept. 17, 1979
PROJECT FEATURE Spillway Weir	NAME
DISCIPLINE	NAME
AREA EVALUATED	CONDITION
OUTLET WORKS - SPILLWAY WEIR, APPROACH AND DISCHARGE CHANNELS	
a. Approach Channel	
General Condition	Good.
Loose Rock Overhanging Channel	None.
Trees Overhanging Channel	Some trees overhanging channel.
Floor of Approach Channel	Not visible beneath water surface.
b. Weir and Training Walls	(Training walls - fair, numerous hairline
General Condition of Concrete	cracks in surface - surface has been
Rust or Staining	(Weir - good. Minor surface erosion and spalling of gunite. Only water stain visible
Spalling	Numerous gunited areas are surface spalling
Any Visible Reinforcing	None.
Any Seepage or Efflorescence	Majority of hairline cracks on D/S face Shows efflorescence.
Drain Holes	One drain hole (1"-3") discharging water from training wall downstream of right end of spillway section. (Only dripping
c. Discharge Channel	seep) section. (Only dripping
General Condition	Good.
Loose Rock Overhanging Channel	None.
Trees Overhanging Channel	Some trees overhanging channel.
Floor of Channel	Bedrock.
Other Obstructions	Highway bridge immediately downstream of dam.

PROJECT Little River Upper Dam, Me.

PROJECT FEATURE Reservoir

DATE Sept. 17, 1979

NAME J. Czyzowski

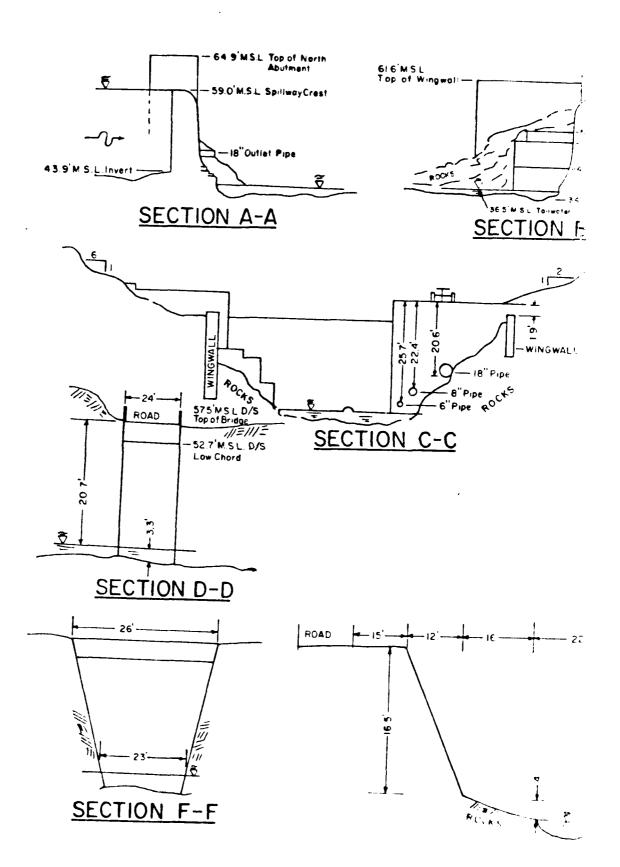
AREA EVALUATED	REMARKS
Stability of Shoreline	Good
Sedimentation	No evidence
Changes in Watershed Runoff Potential	None
Upstream Hazards	None
Downstream Hazards	Herrick Road Bridge; Reservoir Number 1
Alert Facilities	None
Hydrometeorological Gages	None
Operational & Maintenance Regulations	No written recommendations were found.

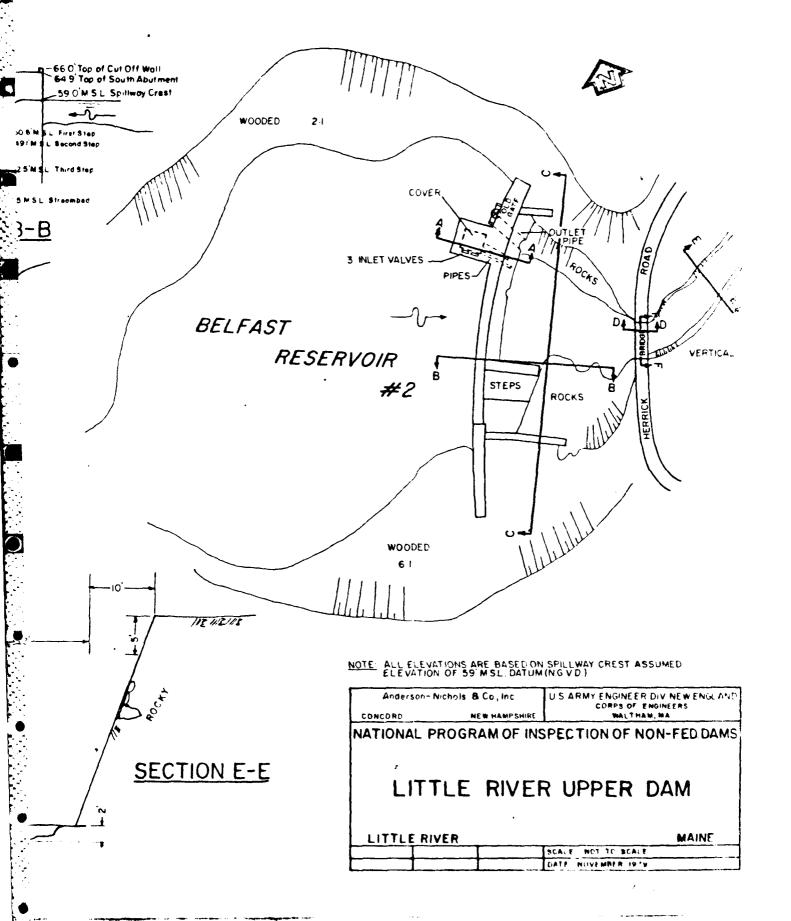
APPENDIX B
ENGINEERING DATA

APPLICATION FOR DAM REDISTRATION	Dam Registration Number 5091
	Date Received DFL 15 1975
ocation:	Fee Enclosed 16 or P8
ounty: Waldo Quasi-Municipal	Quad Sheet Name Bolf *
Quasi-Municipal unicipality: Belfast Water District	Quad Sheet Number 11.9-NE
ame of Dam: Upper Reservoir Dam	_
ame of Impoundment: Reservoir #2	-
wnership:	
ame of Owner: Belfast Water District	Name of Agent: (if different from Owner)
ddress of Owner: 71 Church Street	•
Belfast, Maine 04915	
elephone Number: 338-1200	
escription of Dam	
Type: Arched Concrete	
Concrete	
(Con	ncrcte, wood, earth)
ear Originally built: 1913	Year last major repair: 1970
eight: 25 ft.	Width: 230 ft.
pillway type: open 58% acres	Spillway Width: 90 ft.
mpounding Capacity: 157,000,000 gallons (Acro-fort)	Drawdown available: 20 ft. (feet)
ish Parsage available?: no	Installed Electrical Generating Cap:
Purposes for which stored water is used: P	ublic drinking supply
foot magant despection by Contribution 1970 for the contribution of the contribution o	
Most recent inspection by Qualified Engineer (E	
Name and Address of Engineer: Dale	E. Caruthers -(Deceased)
Mason:	ic Building, Gorham, Maine 04038
Other Permits applicable:	

B-1

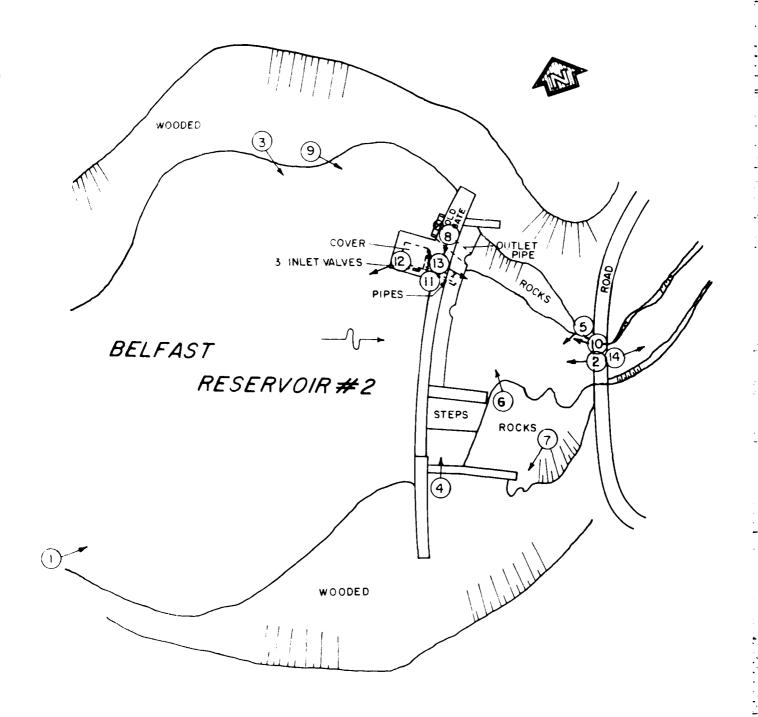
SWCC #14





APPENDIX C

PHOTOGRAPHS



Anderson-Nichols & Co., Inc.

CONCORD

NEW HAMPSHIRE

U.S. ARMY ENGINEER DIV. NEW ENGLAND
CORPS OF ENGINEERS
WALTHAM, MA

NATIONAL PROGRAM OF INSPECTION OF NON-FED. DAMS

PHOTO INDEX

LITTLE RIVER

SCALE NOT TO SCALE
DATE: NOVEMBER 1979



September 17, 1979
Figure 2 - Looking at downstream face of Little
River Upper Dam.



September 17, 1979
Figure 3 - View of upstream face of Little River
Upper Dam.



September 17, 1979 Figure 4 - Looking at north abutment of dam.



September 17, 1979 Figure 5 - Downstream face of south abutment.



September 17, 1979 Figure 6 - Looking at 18-inch outlet pipe at north abutment of the dam.



September 17, 1979
Figure 7 - View of major erosion on south end of training wall at south abutment.



September 17, 1979
Figure 8 - Looking across crest from north abutment of the dam.



September 17, 1979
Figure 9 - Upstream face of the north abutment. View of control tower and gate mechanism.



September 17, 1979
Figure 10 - View of two discharge pipes from the intake structure.



September 17, 1979
Figure 11 - View of gate mechanism at the north abutment.



September 17, 1979
Figure 12 - Looking upstream at the reservoir from the top of the north abutment.

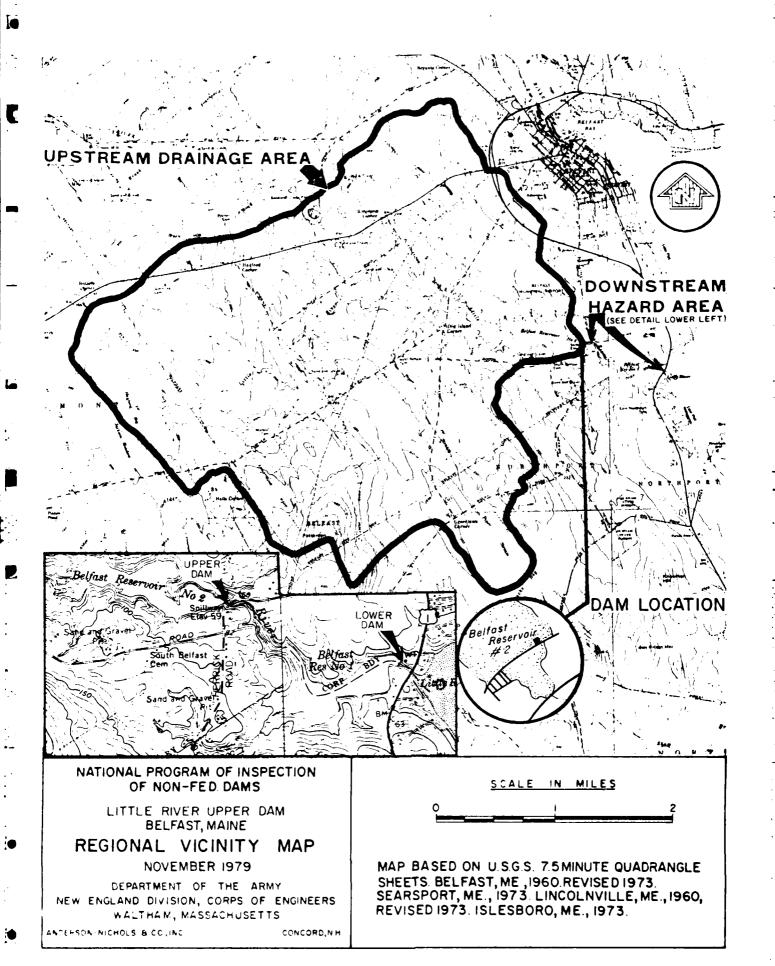


September 17, 1979
Figure 13 - Herrick Road Bridge 200' downstream of the dam.



September 17, 1979
Figure 14 - Looking at the downstream channel from the top of Herrick Road Bridge.

APPENDIX D
HYDROLOGIC AND HYDRAULIC COMPUTATIONS



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Anderson-Nichols & Company, Inc.

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LITTLE RIVER - UPPER DAM

BREACH ANALYSIS - CONT'D

REACH #1

USE ATTIPICAL CROSS SECTION ALONG THE DOWNSTREAM

REACH FROM THE DAM TO THE HERRICK ROAD BRIDGE FOR A

DIFTANCE OF ZOO FEET

DEVELOP A RATING CURVE FOR THIS SECTION BY

USE OF MANNINGS BRUATION: Q - 1.47 A. R 21. 5 % #

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12	670.8	87.1	5439
16	1030.8	105.6	9770
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24	2008.	158.	22658
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'h' - ROUGHNESS COEFFICIENT

A - AREA OF XSECTION IN SQUARE FEET

R - YWETTED PERIMETER

S - SLOPE OF REACH

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Subject #5#

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36 37 38 LITTLE RIVER - WPPER DAM

EKEACH ANALYSIS - CONT'D

DEVEXOR A RATING CHRUE FOR THE X-SECTION ANDNG HERRICK ROAD UXINGE 200' DOWSTREAM THE DAY.

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ROLGANIZAS COEFF.	36.7	74.4	29.4	696
WACK WALL . 015	39.9	150.4	35,8	2055
KOTTOM .035	43.1	228,	42.2	3795
	46.5	307.2	48.7	5807
5=.0125	49.5	388	5 5.	8034
	52.7	470.4	61.5	10440

PRESSURE) WEIR FLOW

C VALUE CARCULATION FOR PRESENCE FROM:

$$k_f = \frac{29.1 \times h^2 \times L}{R^{-9/2}}$$

$$k_f = \frac{29.1 \cdot .03^2 \times 24}{5.27^{-9/2}} = .067$$

$$1.10 + .067 - 1.167$$

$$k - \frac{1}{67} \cdot ... \cdot .167 \qquad C = .92$$

1 = LENGTH OF BRIDGE

= 24'

11 - for CONCRETE ERIDGE

WITH CHEST COTTON - 0.03

R - HYDRAUMC RADMS

INTAKE AND EXIT LOSSES =

1.10

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Subject 474 Sheet No. Anderson-Nichols & Company, Inc. JOB NO. 3273 -16 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 JARES 2 LITTLE RIVER - UPPER DAM 3 ANAZYSIC - CONTIN BREACH 5 6 AREN - 470, SQPT PRESSURE FLOW 7 R- ACTION [CFS] 8 H. FLEY. 9 13, 331 5%.5 14.4 10 60.0 16.9 14,424 11 65.0 16,435 21.9 12 26.7 10.0 18183 13 14 15 c = 2.8 WEIR FLOW 16 [FT MSL] [FT] /F7] Q - CLH > [CFS] 17 REV. H 18 59.5 0 19 2.5 60.0 110 1217 20 65.0 7.5 7476 130 21 70 c 12.5 170 21036 22 23 FLEV. FIMEL a [cfs] 24 SUMMARY -25 33.5 26 696 36.7 27 39.9 2055 28 3795 43.1 29 46.5 2807 30 49.5 8034 31 52% 10470 32 57.5 13,531 33 60.0 15,641 34 65.0 23,911

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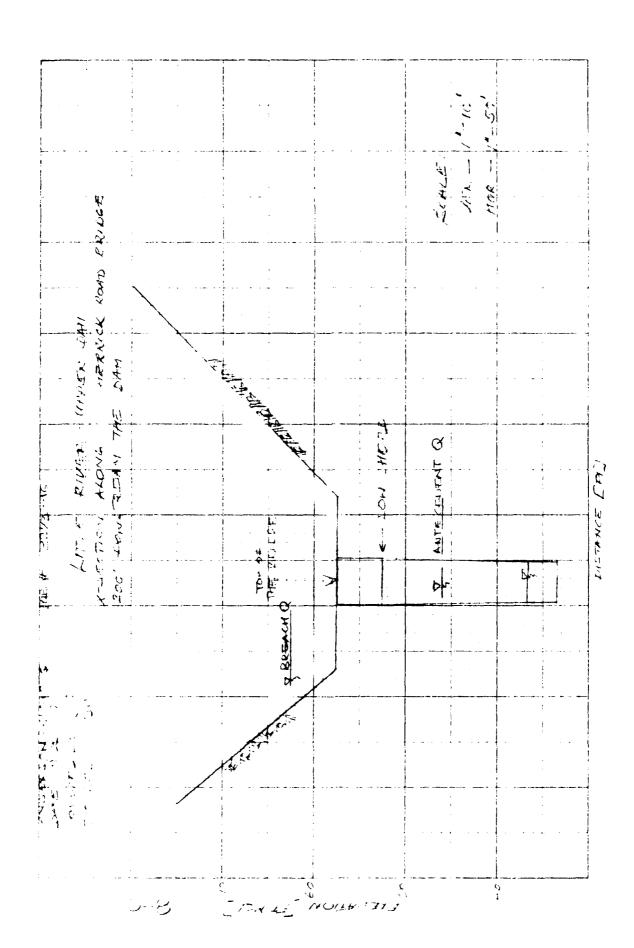
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 Subject #54

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LITLE RIVER - UFPER DAM

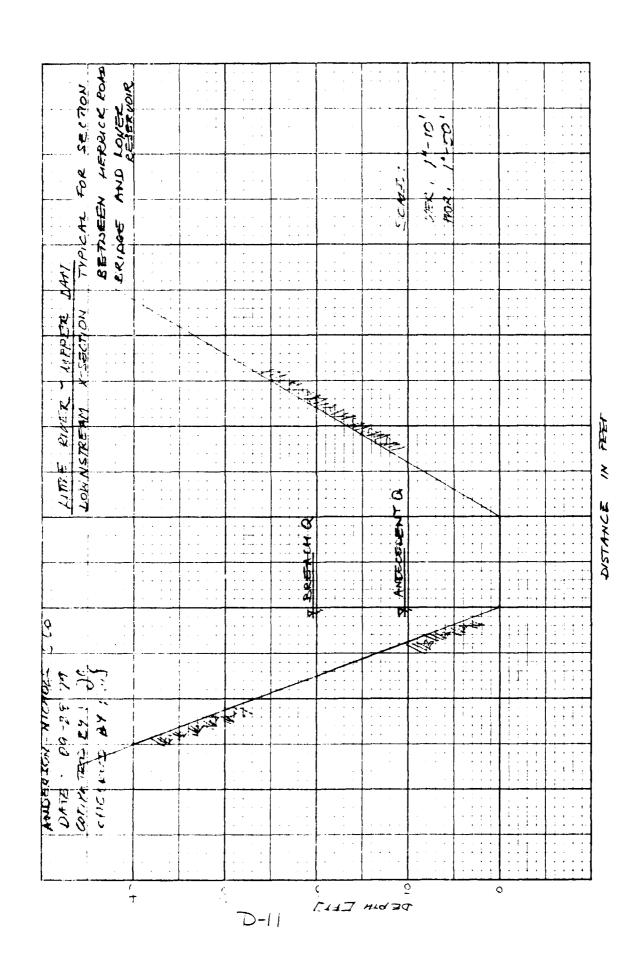
EREACH ANALYSIS - CONTID

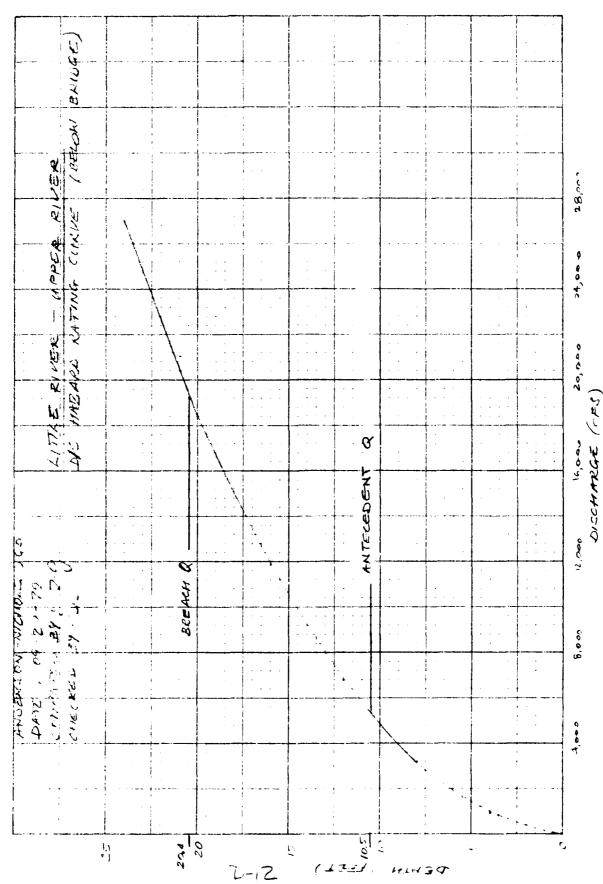
USE A TYPICAL CROSS SECTION ALONG THE DONSTREAM REACH TEOM THE MERRICK ROAD BRIDGE (200' BEZOK' THE WATI) TO CONFUENCE WITH RESERVOIR OF LONGER DATHERA DISTANCE OF 1700 FT.

DEVELOP 4 RATING WHERE FOR THIS SECTION BY 45E OF MANNING'S EQUATION: $Q = \frac{1.49}{h} \cdot A \cdot R^{3/3} \cdot C^{1/2}$

n - 0.06 \$= 0.005

DEPTH [FT]	ARE 4	11 PER	a [crs]
0	0	O	0
4	239	71	933
8	576	92	3193
12	951	113	6794
16	1424	125	11862
20	1975	156	18542
24	2604	177	26 976





Anderson-Nichols & Company, Inc.

Subject #5 H

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LITTLE RIVER - UPIER TAM

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EREACH ANALYSIS CONT'D

TO LETERMINE MAYIMUM RISE OF LOWER REFERVOR

DUE TO EXEACH OF HPPER RESERVOR THE TOTAL

BRETACH Q WILL BE APPLIED TO THE RATING CURVE

FOR THE LOWER DAM. THIS RESULTS IN AN ELEVATION

OF 38.6 PT MSL

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A BREACH OF LITTLE RIVER WHER CONCLUSIONS: DATI COULD CHUSE OVERTOPPING AND FOSSIBLE DAMAGE COULD MUSO CAMIE BRILGE AND LOAD TO THE HERRICK LOWER RESERVOIR DAM. 07 OVERTOPPING CAUSE LOSS OF A REGU-COULD ALSO THE LEREACH WATER SUPPLY 11 RECEP. UOK FOR MSE - LATING PURLIC UTILITY. A HIAZARD TO POSES THEREFORE OF LIFE BUT IT COULD PROBABLY BE NO LOSS THERE WOULD DAMAGE, THEREFORE. CAUSE APPRECIABLE PROPERTY UPPER DAM HAS REEN CLASSIFIED AS LITTLE RIVER SIGNIFICANT HAZARD

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JOB NO. 3273- 16

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LITTLE RIVER UPPER DAM

DRAINAGE AREA - 12.7 SQMIKE EIZE CLASCIFICATION - SMALL

HAZARD CLACEIFICATION - SIGNIFICANT

TEST FIRST RANGE 1/4 PM. + - 1/2 PM = 1 CHOSEN 1

FOR ESTIMATING MAXINIM PROPRETE DISCOURGES IN

FHASE I NAM SAFETY INVESTIGATIONS, MARCH 1978

EXPERSED IS 125 9/11, THE REFORE THE MOUNTAINING CURVE HILL BE USED.

11SE A COM VALUE OF 1840

13.7 ERMLE X 1840 CON = 25600 CFS

TEST FLOOL (1/2 PMF) = 12,800 CFS (RM)

ETER SEA DETERMINE SURCHINEOFE HEIGHT TO PASS

OF 12,800 CFS TO OCTAIN THIS, A DISCHARGE

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HOW THROUGHT THO CHARIT PIPPS (6 AND BINZAMETER)

FROM VALLE CHAMBER IS INCOMPARANT. SIZE OF

EMERGENCY GATE IS UNKNOWN, GATE OPERATING DECHANISH

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DISTANCE IX FEET

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JOB NO.

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Subject _____

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JOB NO. 3272 - 16

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ATTE RIVER WIPPER DAM

STORAGE - ELEVATION CURVE CALCULATIONS

NORMAL SIDRAGE (SPILL MY CVEST- 59 FT MSK) - 480 AC-FT

NOTE: 480 ACT: MAS DETAINED BY FITHMATING MUTHAGE DEPITH

OF RESIRVOIR -10 FT AND PLANIMITIERE EURFACE OF

REJETVOIR FROM RUAZ CIRETY - 48 AC. 157 000 OND GAL

LISTEL AC IMPORDEINES CAPACITY IN APPLICATION FOR

DATH REGISTRATION (SEE PAGE) AGREES WITH THIS

CARCHALTION.

SURPACE ARTHS , LEVERON POINTS FOR A STORAGE -

ELEV. 70, FT MCL

TUNFACE AREA - 76 AC V= 1/2 11 (+8 + 76 + 148.76') = 676 AC-FT TOTAL STORAGE - 1156 AC-FT

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Subject # 5 4 Anderson-Nichols & Company, Inc. 321/2 16 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 LITTLE RIVER WEVER DATI TENT ! (CONT) TEST FLOOR " PAF) = 12,800 CFS FIRT P 12, 800 CFG = 68.4 FTMCK LIFTERMINE UCKNING BY . CINCHARGE IN WOHES 112 OF CUNOFE 13 Qu = 12.8 > 71 - EXEV. 68.4 FT MCL . 15 16 LTORNOF AT 68.4 FIMEL -> 1050 AC-FT 1.7 EXCRAGE AT 59.0 FT MAN PENLLWAM CHELT) -> 480 AC-FT 18 5/0 AC-FT > 27 Mil + 640 AC * 12 1/4 = . 78 " K'UNOFF 19 . 20 (STOR 1) 121 22 SEN AZC ap = ap, x (1 - 2001) 10 15 Sp. = 12,800 crs = (1- 1/8") = 11,750 CFC 76 ,21 LETER P 39 29 , 30 Rp, -11, 150 GS - 68. FT Mil - 1025 ACFT 31 32 555 AC FT . GIME " 040AC + 12 TH = . 76 "RUNOFF 33 34 35 ----36

Subject ____ Anderson-Nichols & Company, Inc. 3272 -16 JOB NO. 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 IN. SCALE LITTLE RIVER - 4 PPER UM APPRAGE STOR 1 9 STOR 2 .78 + 76 - .77 " RUNOFF 10 .77 x 13.7 412 , 640 AC x FT - 563 AC-FT. 11 12 13 563 ACFT + 480 AC-FT = 4043 AC-FT - 68.2 FT-MEL 14 15 16 TEST FLOOD - 1/2 PMF 17 18 19 TEST FLOOD DISCHARGE - 12,200 CFS 20 21 TEST FLOOD EXEVATION - 68.2 FT-MSL 22 23 TOP OF DATI - 64.9 FT MEL THEREFORE DATI EMBANKHENT 24 WOULD BE OVERTOPIED BY ABOUT 3.3 FT DURING 25 TEST FROOD CONDITIONS. 26 27 TOP OF DAM - 64.9 FT MSL - STORAGE 850 AC-FT 28 29 SPICKAY CASSACITY & TOP DE DAM 15 5390 CF 30 THE TEST FLOOD DISCHARGE. WHICH IS 44 PERCENT OF 31 32 33 34 D-ZI 35

APPENDIX E

INFORMATION AS CONTAINED IN THE NATIONAL INVENTORY OF DAMS

INVENTORY OF DAMS IN THE UNITED STATES

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Just Lebour Skill Sand Barrier

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MATERIAL SECTION

Constants of the angle (See Flow)

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